Case No. <u>8627-327</u>

## **DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <u>ELECTROPOLISHING APPARATUS AND METHOD FOR MEDICAL IMPLANTS</u>, the specification of which:

	is attached hereto.			
$\boxtimes$	was filed on November 1	1, 2003 as Application Serial No. 10/7	12,420.	
	and was amended on	(if applicable).		
	at I have reviewed and un amendment referred to abo	derstand the contents of the above-idove.	lentified specification, in	cluding the claims, as
I acknowledge the Regulations, § 1		ation which is material to the patenta	ability as defined in Title	e 37, Code of Federal
inventor's certific United States, lis	cate or § 365(a) of any P ted below and have also id	nder 35 U.S.C. § 119(a)-(d) or § 3650 CT International application which of dentified below, by checking the box, having a filing date before that of the	designated at least one of any foreign application is	country other than the for patent or inventor's
Prior Foreign Ap	plication(s)			Priority Claimed
(Number)	(Country)	(Day/Month/Yea	ar Filed)	Yes No
I hereby claim the	e benefit under 35 U.S.C.	§ 119(e) of any United States provision	nal application(s) listed b	elow:
(Applica	tion Serial No.)	(Filing Date)		
application design is not disclosed in U.S.C. § 112, I a	nating the United States, line the prior United States of the duty to concentrate the duty th	C. § 120 of any United States application below and, insofar as the subject or PCT International application in the disclose information which is material date of the prior application and the results.	matter of each of the cla e manner provided by the l to patentability as defi	tims of this application e first paragraph of 35 ned in 37 CFR § 1.56
(Applica	tion Serial No.)	(Filing Date)	(Status-patented,	pending, abandoned)
belief are believe the like so made	ed to be true; and further the are punishable by fine or if false statements may jeopa ture	erein of my own knowledge are true as that these statements were made with timprisonment, or both, under Section or dize the validity of the application or Darin G. Schaeffer  Bloomington, Indiana U.S.A.	the knowledge that willfulled the Lany patent issued thereon	ul false statements and Jnited States Code and

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200 Inventor(s):

1.

2.

From \_\_\_\_\_ To: \_\_

From \_\_\_\_\_ To: \_\_\_

DARIN G. SCHAEFFER

Title:

## ELECTROPOLISHING APPARATUS AND METHOD FOR MEDICAL IMPLANTS

Title.	<u>BBEOTROT OEBS.</u>	POWER OF ATTORNEY	KINDDICKE IVALEKTIO			
		TOWER OF ATTORNET				
	The specification of the above-ident	ified patent application:				
	is attached hereto					
	was filed on November 11, 2003 as application Serial No. 10/712,420					
<del></del>			and to transact all business in the Patent and			
Traden	nark Office connected therewith:	meys to prosecute said patent application	and to transact an business in the ratent and			
	Jerold A. Jacover, Reg. No. 26,284	David W. Okey, Reg. No. 42,959	Richard J. Godlewski, Reg. No. 30,056			
	A. James Richardson, Reg. No. 26,983	James A. Collins, Reg. No. 43,557	James B. Hunt, Reg. No. 40,276			
	James P. Naughton, Reg. No. 30,665	Vineet Gauri, Reg., No., 44,701	Charles W. Agnew, Reg. No. 44,497			
	Steven Oberholtzer, Reg. No. 30,670	L.G. Almeda, Reg. No. 46,151				
	Rodney A. Daniel, Reg. No. 31,605	DeWayne Hughes, Reg. No. 46,783	<u> </u>			
Γ	Jeffery M. Duncan, Reg. No. 31,609	J. Matthew Buchanan, Reg. No. 47,459				
	Thomas J. Filarski, Reg. No., 31,612	Carmen Matos Michael, Reg. No. 47,493				
Ī	Lawrence A. Steward, Reg. No. 32,309	Jonathan M.Blanchard, Reg. No. 48,927				
i i	G. Peter Nichols, Reg. No. 34,401	Jonathan P. Taylor, Reg. No. 48,338				
	Michael E. Milz, Reg. No. 34,880	Samuel Shehadeh, Reg, No. 52,215				
	Janet A. Pioli, Reg. No. 35,323	Alejandro Fernandez, Reg. No. 52,886				
-	Margaret Dobrowitsky, Reg. No. 36,501	Mircea Tipescu, Reg. No. 53,690				
F	Dominic P. Zanfardino, Reg, No. 36,068					
<u> </u> -	Meredith Martin Addy, Reg. No. 37,883					
of the firm of BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, Illinois 600610 (312) 321-4200			of COOK INCORPORATED P.O. Box 2269 Bloomington, IN 47402-2269			
	Please address all correspondence ar	nd telephone calls to Richard E. Stanley, Jr	:_ in care of:			
		Brinks Hofer Gilson & Lione P.O. Box 10395 Chicago, IL 60610 (312)321-4200				
U.S. at	ny action to be taken in the Patent and	Trademark Office regarding this applicate tent of a change in the persons from whor	and follow instructions from <u>Cook Incorporated</u> tion without direct communication between the m instructions may be taken, the U.S. attorney			
applica	COOK INCORPORATED, a corportion identified above by virtue of either		the entire right, title and interest in the pater			
	An assignment from the inventor(s) OR	of the patent application identified above,	a copy of which is attached hereto.			
	An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, frame  OR					
	A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:					

The document was recorded in the Patent and Trademark Office at

The document was recorded in the Patent and Trademark Office at

Additional documents in the chain of title are listed on a supplemental sheet.

Reel \_\_\_\_\_, frame \_\_\_\_\_, or a copy thereof is attached.

Reel \_\_\_\_\_, frame \_\_\_\_\_, or a copy thereof is attached.

The undersigned has reviewed the assignment or all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Storne Date: Felo. 3, 2004

Name: Title:

Sr. V.P. IP Growth & Development

Rev. Dec.-99 C:\MEM\PTO\10000-66 POA.doc

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop Missing Parts, Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313 on February 25, 2004

Date of Deposit

Richard E. Stanley, Jr., Reg. No. 45,662

Name of applicant, assignee or Registered Representative

Signature

February 25, 2004

Date of Signature

Case No. 8627/327

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCHAEFFER, Darin G.

Serial No.: 10

10/712,420

Attention: Manager, Application Branch

Filed:

November 12, 2003

For: ELECTROPOLISHING APPARATUS AND METHOD FOR

MEDICAL IMPLANTS

## DECLARATION REGARDING USE OF THE INVENTED APPARATUS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Now comes Darin G. Schaeffer, the inventor of the above-identified patent application, who declares and states:

1. I am currently employed by Cook Incorporated ("Cook") as an engineer. I have held this position with Cook during all time periods referred to herein. My principal job responsibility involves designing stents, catheters and related devices and methods related thereto.

- 2. More than one year before filing the above-identified patent application, I constructed an electropolishing device that would be covered by the claims of the patent application as filed.
- 3. After constructing the electropolishing device, it was used by me and others associated with Cook's engineering group to polish prototype stents. This use occurred more than one year before filing the above-identified patent application.
- 4. The prototype stents were not manufactured or polished for commercial purposes and none of the prototype stents was sold, offered for sale, publicly used or described in a printed publication more than one year before filing the above-identified patent application. The prototype stents were manufactured only for purposes internal to Cook, such as evaluating new stent designs and related projects. During the time period more than one year before filing the above-identified patent application, the prototype stents were either discarded or stored within Cook's facilities.
- 5. I further state that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

Darin G. Schaeffer